## Theoretical Aspects of Intruder Search

Course Wintersemester 2015/16 Graphs and Trees

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October 20th, 2015

Elmar Langetepe Theoretical Aspects of Intruder Search

- Lecture: Tuesday 16:15 to 17:45
- Exercise groups: Starting 28/29th Wednesday: 14:15-15:45 Thursday: 10:15-11:45
- Sign in
- Manuscipt on the webpage
- Slides on the webpage
- Exercises
- Today: Introduction

## Repetition: Main problems and intention

- Evader/Intruder versus Searcher/Guard
- Escaping/Intruding versus Catching/Avoidance
- Game, Competition
- Different Scenarios: Environment, Facilities, Goal, Model
- Discrete, Continuous, Geometry, Combinatorics
- Interpretation: Possible Position of the Intruder, Decontamination, Firefighting

- Algorithmic track
- Computational complexity
- Correctness or Failure
- Efficiency
- Optimality
- Prerequisites: Algorithms, Datastructure, Analysis, Complexity, Computability
- Models, Methods, Proof Techniques, Tools

## Repetition: Different Examples

Optimal-Closing-Sequence against Intruder

- Saving maximum area by closing doors in polygon
- NP-hard, Reduction of Subset-Sum
- Octohing-an-Evader
  - Grid-Graph game, Evader moves, k stationary Guards
  - Correctness k = 1 impossible, k = 2, optimal solution by ILP
- Inclosing-a-Fire
  - Expanding circle in the plane, Build a barrier with speed v > 1
  - Barrier Curves: Circle arround origin of fire,  $v \ge 2\pi$  tight
- Oiscrete Fire-Figthing-Curve
  - Grid-Graph, Fire spreads after *n* steps, barrier cell after *b* steps
  - Conjecture,  $b < \frac{n-1}{2}$  tight bound! Simulation!

- Graph G = (V, E), degree d, root r, p firefigther per step
- Different models: Intruder-Search/Firefigthing
- Complexity
- Optimal Algorithms
- Approximation

- NP-complete
- Simple Algorithm for root vertex of degree 2
- Defending a vertex
- dist(u, r) length of a shortest path from r to u
- $V_1$  vertices of degree 1,  $V_2$  vertices of degree 2
- $V_c$  vertices of degree 3 that belong to a cycle
- Example

#### Path Strategy

**Lemma 6:** Vertex  $u \in V_1 \cup V_2$  can be enclosed in time dist(u, r) + 1 and only dist(u, r) + 1 vertices are on fire. Vertex  $u \in V_c$  can be enclosed in time dist(u, r) + C(u) - 1 and only dist(u, r) + C(u) - 1 vertices are on fire.

Proof! Constructive!

#### Optimal Strategy:

$$f(u) := \left\{ egin{array}{ccc} {
m dist}(u,r)+1 & : & {
m if} \; u \in V_1 \cup V_2 \ {
m dist}(u,r)+C(u)-1 & : & {
m if} \; u \in V_c \setminus V_2 \ {
m \infty} & : & {
m otherwise} \end{array} 
ight.$$

Find a vertex u with  $f(u) = \min_{x \in V} f(x)$ . Enclose this vertex by the path strategy.

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Structural Property

**Lemma 7:** For a setting (G, r, 1) where G has maximal degree 3 and root r has degree  $\leq 2$  there is always an optimal protection strategy that protects the neighbor of a contaminated vertex in each time step.

Proof!

- Trivial for degree r is 1
- Degree of *r* is 2, mimimal counterexample
- I. one of the neighbors of r first, II. not a neighbor of r

Optimal Strategy:

$$f(u) := \left\{ egin{array}{ccc} {
m dist}(u,r)+1 & : & {
m if} \; u \in V_1 \cup V_2 \ {
m dist}(u,r)+C(u)-1 & : & {
m if} \; u \in V_c \setminus V_2 \ {
m \infty} & : & {
m otherwise} \end{array} 
ight.$$

Find a vertex u with  $f(u) = \min_{x \in V} f(x)$ . Enclose this vertex by the path strategy.

**Theorem 8:** For a problem instance (G, r, 1) of a graph G of maximum degree 3 and a root vertex of degree 2 the above strategy is optimal.

Proof!

**Theorem 8:** For a problem instance (G, r, 1) of a graph G of maximum degree 3 and a root vertex of degree 2 the above strategy is optimal.

Proof:

- Last burning vertex *u*
- $u \in V_1, V_2$ , by construction
- $u \in V_c$ , neighbors  $n_1, n_2, n_3$ , and  $n_1$  on fire
- Also  $n_2$  on fire: u,  $n_1$  and  $n_2$  on a cycle, contradiction!
- $n_2$  and  $n_3$  are protected.
- Another neigbor of  $n_2$  or  $n_3$  is on fire, say p of  $n_2$
- Otherwise: protect *u* one step earlier
- $u, n_2, p$  build the cycle

**Theorem 9:** For a problem instance (G, r, 1) of a graph G = (V, E) of maximum degree 3 and a root vertex of degree 2 the decision problem can be solved in polynomial time and the maximum number of vertices that can be saved is  $|V| - \min_{x \in V} f(x)$ .

Proof: Compute the values in polynomial time!

**Theorem 10:** The firefighter decision problem for graphs is NP-hard.

Proof:

- k-Clique reduction to the decision problem
- Construct Graph G' = (V', E') from Graph G = (V, E)
- Vertex  $s_v$  for every  $v \in V$ , Vertex  $s_e$  for every  $e \in E$
- Edges  $(s_v, s_e)$  and  $(s_u, s_e)$  for every edge e = (u, v)
- Root vertex r and k-1 colums of k vertices  $v_{i,j}$
- Connect the layer from left to right and to all  $s_v$
- Example Blackboard!

**Theorem 10:** The firefighter decision problem for graphs is NP-hard.

Proof:

k-Clique exists

- Save k vertices of the k Clique
- Saves  $k' = k + \left(\frac{k}{2}\right) + 1$  vertices
- Saving  $k' = k + \left(\frac{k}{2}\right) + 1$  vertices?
  - Before step k: Saving  $s_e$  or  $a_{i,j}$  needless, only one
  - Saving k vertices  $s_v$ .
  - k' possible, if k-Clique exists
  - Another one in the last step

# NP-Competeness for general graphs

**Theorem 11:** For a problem instance (T, r, 1) of a rooted tree T = (V, E) the greedy strategy gives a  $\frac{1}{2}$  approximation for the optimal number of vertices protected. This bound is tight.

Proof:

• Example for  $\frac{k+1}{2(k-1)} \mapsto \frac{1}{2}$ 

2 Tightness

- Greedy versus opt, time steps: Savings
- $opt_A$  not better than greedy,  $opt_B$  better than greedy.
- $2S_G \ge \text{opt}_A + \text{opt}_B$
- Greedy competes with  $opt_A$  at the start
- Moment where Greedy is worse that opt
- opt<sub>B</sub> choose v, depth l, also greedy can choose l or greedy has chosen a predecessor of v before  $\Rightarrow$  greedy saves at least the vertices of opt<sub>B</sub> before

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Firefighter Decision Problem (Protection by k guards): **Instance:** A Graph G = (V, E) of degree d with root vertex r and p firefigther per step and an integer k. **Question:** What is the strategy that saves a maximum number of vertices by protecting k vertices in total? Dynamic Programming Approach: Place *k* guards! Structural Property!

**Lemma 12:** For any optimal strategy for an instance of the firefigther decision problems on trees (protection by k guards, saving k vertices) the vertex defended at each time is adjacent to a burning vertex. There is an integer l, so that all protected vertices have depth at most l, exactly one vertex  $p_i$  at each depth is protected and all ancestors of  $p_i$  are burning.

- Time step *t*, place guard with non-burning neighbor
- Placement closer to the root improves strategy
- depth t at step t, inductively!

Dynamic Programming Approach: Place k guards!

- Lemma 12: Guards in depth  $1, 2, \ldots, k$
- $L_k$ , vertices of T with depth  $\leq k$
- Order for the processing: Subproblems!
- Preorder of the graph! to the left, rightmost
- l(v), vertex to the left of v
- $T_v$  subtree at v
- $T^{v}$  tree with vertices from  $L_{k}$  to the left of v, including v
- Recursion more general: Vector  $X \in \{1, 0\}^k$
- X(j) = 1 place guard in step j, X(j) = 0 n guard in step j
- $A_v(X)$ : Optimal strategy for X in  $T^v$ , based on  $T^{l(v)}$
- Recursion!

## Efficient Algorithm for Trees

Problem! No two guards on a single path! Set guard after depth *i*!



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 $\exists \rightarrow$ 

### $A_v(X,i) :=$

Optimal protection number in  $T^{v}$  for strategy that sets the guards w.r.t. entries of X and no guard is set on the path from r to v at depth  $\leq i$ 

**Theorem 13:** Computing the optimal protection strategy for k guards on a tree T of size n can be done in  $O(n2^k k)$  time.

$$\begin{aligned} A_{v}(X,i) &:= \\ \max \left\{ \begin{array}{l} A_{l(v)}(X,\min(d(v)-1,i)) \\ [X(d(v)) &= 1 \& d(v) > i] \cdot (|T_{v}| + A_{l(v)}(X^{v},d(v)-1)) \end{array} \right\} \end{aligned}$$

- Compute  $L_k$ , I(v),  $|T^v|$  in linear time!
- Traverse the vertices of  $L_k$  from left to right
- At most  $n \times 2^k \times k$  entries  $A_v(X, i)$
- *n* stands for v,  $2^k$  stands for X, k stands for *i*.

**Corollary 14:** Computing a strategy for a tree T of size n that saves at least k vertices can be done in  $O(n2^kk)$  time.

- Run above algorithm for  $i = 1, \ldots, k$
- Sufficient!

• 
$$\sum_{i=1}^{k} i 2^{i} n \le kn \sum_{i=1}^{k} 2^{i} = (2^{k+1} - 2)kn$$